

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: J. O. Chu et al.

Serial No.: 09/838,892

Filed: April 20, 2001

For: EPITAXIAL AND POLYCRYSTALLINE

GROWTH OF Si1-x-yGexCy AND Si1-yCy ALLOY LAYERS ON Si BY

UHV-CVD

Docket No.: YOR920010308US1

Group Art Unit: 2811

Examiner:

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Technology Center 2600

Assistant Commissioner for Patents Washington, D.C. 20231

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Information Disclosure Statement Transmittal Letter PTO-1449 Form With 3 References Attached Acknowledgment Postcard

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TRANSMITTAL LETTER

Sir:

Please find enclosed a listing (PTO Form 1449) of references which may be relevant to the above-referenced application. This listing of references is not a representation that a search has been made, that better references than listed do not exist, or that other references are not applicable. Moreover, this listing does not constitute any admission by applicants or applicants' attorney that the information provided herein is necessarily prior art to applicants' invention. Please charge any fee necessary to enter this paper to deposit account 09-0468.

Respectfully submitted,

Robert M. Trepp '

Intellectual Property Law Attorney

Date: September 9, 2002

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Examiner:

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